Crafting a financial game plan for athletes

Katz Wealth Management
UBS Financial Services Inc.
Private Wealth Management




UBS

As a professional athlete, you've built a career in a highly visible and demanding field. Your dedication sets you apart, but so do the challenges of your industry: income volatility, shorter careers timelines, and the need to make informed financial decisions.

Katz Wealth Management is part of a select group of UBS Financial Advisors and Athletes & Entertainers Consultants (AECS) dedicated to serving the sports and entertainment community. We leverage UBS's global resources to help clients manage, grow, and preserve wealth throughout every stage of their career and beyond.

Jason Katz, Managing Director, Senior Portfolio Manager, Private Wealth Advisor, Athletes & Entertainers Consultant, and NFLPA Institutional Player Advisor, brings decades of experience helping professional athletes navigate these complexities. UBS is committed to the Athletes & Entertainers space, backed by rigorous accreditation and dedicated to delivering results.

We work closely with you and your trusted advisors to create a clear, strategic financial game plan tailored to your current needs, aligned with your long-term goals, and designed to evolve as your career progresses.

Our industry recognition

Katz Wealth Management

– *Forbes* America's Top Wealth Management Teams Private Wealth, 2024 (ranked #12)
  The *Forbes* rating is compiled by SHOOK Research and awarded annually in November based on information from a 12-month period ending in March of the award year.

Jason Katz

– *Forbes* America's Top Wealth Advisors: Top 100, 2016 – 2025 (ranked #7)
  The *Forbes* rating is compiled by SHOOK Research and awarded annually in April based on information from a 12-month period ending June of the prior year.

– *Forbes* Best-In-State Wealth Advisors, NY, 2018 – 2025 (ranked #2)
  The *Forbes* rating is compiled by SHOOK Research and awarded annually in April based on information from a 12-month period ending June of the prior year.

– *Barron's* Top 100 Financial Advisors, 2018 – 2023 (ranked #16)
  The *Barron's* rating is awarded annually in April based on information from the prior year Q4.

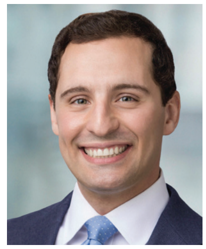
– *Barron's* Top 1,200 Financial Advisors, 2014 – 2023 (ranked #6)
  The *Barron's* rating is awarded annually in March based on information from the prior year Q3.

  Eligibility is based on quantitative factors and is not necessarily related to the quality of the investment advice.

Meet the team

Jason Katz, CIMA®
Private Wealth Advisor

Jason is a Managing Director, Senior Portfolio Manager, Private Wealth Advisor, Athletes and Entertainers Consultant, and NFLPA Institutional Player Financial Advisor. Jason is a frequent guest on CNBC, Fox Business News and Bloomberg TV. He is the founding partner and senior team member of Katz Wealth Management and oversees the team's customized equity and exchange traded fund models for clients. He also has extensive experience selecting and monitoring top institutional money managers, hedge funds, and private equity funds. Jason has been named a *Forbes* Best-In-State Wealth Advisor in New York from 2018 – 2024. The *Forbes* rating is compiled by SHOOK Research and awarded annually based on information from a 12-month period ending June of the prior year. Eligibility is based on quantitative factors and is not necessarily related to the quality of investment advice.



Ryan Rosegarten, CFP®
Senior Wealth Strategy Associate

Ryan is a Senior Wealth Strategy Associate and leads KWM's entertainment, sports, and media focus alongside Jason. Coming from a family with five decades in the entertainment industry, Ryan has a deep understanding of the creative mindset and the crucial need for comprehensive planning and collaboration.

As a Certified financial Planner® professional, he has received extensive training in a wide range of areas, including retirement planning, investment strategy, advanced wealth transfer, tax optimization, and risk mitigation in financial, investment, insurance, and estate planning strategies. Ryan works closely with clients and their trusted advisor teams, providing day-to-day communication along with market insights and planning strategies. He has been in the media, (Asset TV, Financial Advisor IQ, NASDAQ, Financial Planning Magazine, and more) speaking on a variety of topics pertaining to the team's work with their clients.

Josh Levine, AWMA™
Senior Wealth Strategy Associate

Josh is a Sports and Entertainment Accredited Wealth Management Advisor and serves as one of the team's relationship managers. He provides timely market updates and conducts portfolio reviews to ensure clients' investment allocations align with their dynamic financial plans. Having grown up in and around the sports industry, he understands the creative mindset and the importance of comprehensive planning and collaboration. Josh was a nationally ranked Division I tennis player at Duke University, giving him a strong understanding when speaking to athlete clients.

Lamar Remy, CFP®
Senior Wealth Strategy Associate

Lamar is a Certified Financial Planner® professional with experience in personal financial planning, retirement planning, and estate planning strategies. He focuses on equity research and analysis for the team's equity models and conducts due diligence on investment managers and alternative investments. Lamar was also a nationally ranked Division I tennis player at the University of Wisconsin–Madison and is currently involved in the University of Wisconsin's W Club Advisory Board, giving him unique insight into the needs and concerns of athlete clients. He has also been featured in the media (PlayersTV), discussing important financial literacy topics geared towards athletes.

> Whether building a business, preserving your lifestyle in retirement or planning your legacy, we are a team of dedicated professionals who share your vision, seek to earn your trust and work diligently to help you pursue your goals.

– Jason Maxwell Katz

Why work with us?

We provide boutique advice backed by the full resources of one of the world's largest wealth management firms.

Our skilled team consists of credentialed Financial Advisors focused on delivering the highest caliber of service and advice.

Through our solution set and comprehensive planning process, we can address both the assets and liabilities of your personal balance sheet.

As part of the Private Wealth Management division at UBS located in the New York City office with a presence in South Florida, we have access to the firm's thought leaders and decision-makers.

We can provide the confidence that comes from a team that truly cares about you and each generation of your family.

Our wealth management process

Identify

- We begin with a deep conversation to **identify** your investment goals, planning needs, risk tolerance and more

Plan

- From there, Katz Wealth Management will develop your customized financial **plan**
- The plan is guided by your goals and helps dictate your optimal investment allocation

Agree

- Next, Katz Wealth Management will collaborate to prepare a detailed analysis of your portfolio
- Working with you, we mutually **agree** on the solutions that best help you pursue your goals at every phase of your life

Implement

- Once your plan is in place, we can help you **implement** your investment solutions
- Our team oversees your investment strategies and keeps you apprised of your progress

Review*

- We can **review** upon your request and make any adjustments necessary as your life, financial circumstances and goals change

* We provide periodic monitoring of advisory accounts in accordance with the client's agreements with UBS. Unless separately agreed in writing, UBS does not manage client's brokerage account, and clients make the ultimate decision regarding the purchase or sale of investments.

UBS

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